

10/02/90
U.S. PATENT & TRADEMARK OFFICE

Please type a plus sign (+) inside this box → +

06-21-01 A

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 393325

First Inventor Augustin T. Chen

Title ADHESIVE COMPOSITIONS

Express Mail Label No. EL846917970US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification [Total Pages 37] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure 		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets _____]		ACCOMPANYING APPLICATIONS PARTS	
5. Oath or Declaration [Total Pages 6]		9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small>		11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>		12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input checked="" type="checkbox"/> Other: Certificate of Mailing & Check For \$768.00	

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: _____ / _____

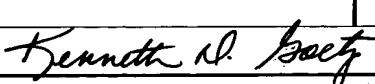
Group / Art Unit: _____

Prior application information: Examiner _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code/label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kenneth D. Goetz				
	Lathrop & Gage, LC				
Address	2345 Grand Boulevard				
	Suite 2800				
City	Kansas City		State	Missouri	Zip Code
Country	United States of America		Telephone	(816) 460-5849	
Fax		(816) 292-2001			

Name (Print/Type)	Kenneth D. Goetz		Registration No. (Attorney/Agent)		32,696
Signature			Date		June 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

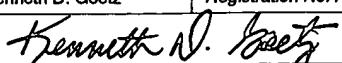
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 768)

Complete If Known	
Application Number	Not Assigned
Filing Date	Filed Herewith
First Named Inventor	Augustin T. Chen
Examiner Name	Not Assigned
Group / Art Unit	Not Assigned
Attorney Docket No.	393325

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <input type="text" value="12-0600"/></p> <p>Deposit Account Name <input type="text" value="Lathrop & Gage LC"/></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>65 Petition to revive – unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620 Petition to revive – unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220 Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130 Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 40)</td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath		127	50	227	25 Surcharge - late provisional filing fee or cover sheet.		139	130	139	130 Non-English specification		147	2,520	147	2,520 For filing a request for reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	390	216	195 Extension for reply within second month		117	890	217	445 Extension for reply within third month		118	1,390	218	695 Extension for reply within fourth month		128	1,890	228	945 Extension for reply within fifth month		119	310	219	155 Notice of Appeal		120	310	220	155 Filing a brief in support of an appeal		121	270	221	135 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	65 Petition to revive – unavoidable		141	1,240	241	620 Petition to revive – unintentional		142	1,240	242	620 Utility issue fee (or reissue)		143	440	243	220 Design issue fee		144	600	244	300 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	130	123	130 Petitions related to provisional applications		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)	40	146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify) _____				*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40)
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																													
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																																																														
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																														
139	130	139	130 Non-English specification																																																																																																																																																														
147	2,520	147	2,520 For filing a request for reexamination																																																																																																																																																														
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																														
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																														
115	110	215	55 Extension for reply within first month																																																																																																																																																														
116	390	216	195 Extension for reply within second month																																																																																																																																																														
117	890	217	445 Extension for reply within third month																																																																																																																																																														
118	1,390	218	695 Extension for reply within fourth month																																																																																																																																																														
128	1,890	228	945 Extension for reply within fifth month																																																																																																																																																														
119	310	219	155 Notice of Appeal																																																																																																																																																														
120	310	220	155 Filing a brief in support of an appeal																																																																																																																																																														
121	270	221	135 Request for oral hearing																																																																																																																																																														
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																														
140	110	240	65 Petition to revive – unavoidable																																																																																																																																																														
141	1,240	241	620 Petition to revive – unintentional																																																																																																																																																														
142	1,240	242	620 Utility issue fee (or reissue)																																																																																																																																																														
143	440	243	220 Design issue fee																																																																																																																																																														
144	600	244	300 Plant issue fee																																																																																																																																																														
122	130	122	130 Petitions to the Commissioner																																																																																																																																																														
123	130	123	130 Petitions related to provisional applications																																																																																																																																																														
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																														
581	40	581	40 Recording each patent assignment per property (times number of properties)	40																																																																																																																																																													
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																														
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																														
179	710	279	355 Request for Continued Examination (RCE)																																																																																																																																																														
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																														
Other fee (specify) _____																																																																																																																																																																	
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 40)																																																																																																																																																													
<p>Total Claims <input type="text" value="21"/> -20 = <input type="text" value="1"/> X <input type="text" value="18"/> = <input type="text" value="18"/></p> <p>Independent Claims <input type="text" value="3"/> -3 = <input type="text" value="0"/> X <input type="text"/> = <input type="text" value="0"/></p> <p>Multiple Dependent <input type="text"/> X <input type="text"/> = <input type="text" value="0"/></p>																																																																																																																																																																	
<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Small Entity Fee Code</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9 Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40 Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135 Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40 ** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9 ** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> <p>SUBTOTAL (2) (\$ 18)</p>				Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid	103	18	203	9 Claims in excess of 20	102	80	202	40 Independent claims in excess of 3	104	270	204	135 Multiple dependent claim, if not paid	109	80	209	40 ** Reissue independent claims over original patent	110	18	210	9 ** Reissue claims in excess of 20 and over original patent																																																																																																																																						
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid																																																																																																																																																														
103	18	203	9 Claims in excess of 20																																																																																																																																																														
102	80	202	40 Independent claims in excess of 3																																																																																																																																																														
104	270	204	135 Multiple dependent claim, if not paid																																																																																																																																																														
109	80	209	40 ** Reissue independent claims over original patent																																																																																																																																																														
110	18	210	9 ** Reissue claims in excess of 20 and over original patent																																																																																																																																																														

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Kenneth D. Goetz	Registration No. Attorney/Agent)	32,696	Telephone	(816) 460-5849		
Signature				Date	June 20, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Augustin T. Chen, et al.

Matter No.

393325

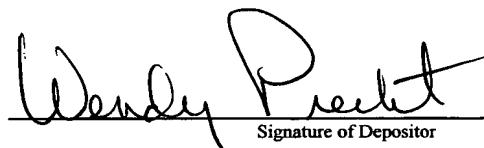
Serial No.	Filing Date	Examiner	Group Art Unit
Not Assigned	Filed Herewith	Not Assigned	Not Assigned

Invention: ADHESIVE COMPOSITIONS

I hereby certify that this: Utility Patent Application Transmittal (1 page); Fee Transmittal for FY 2001 (1 page); Specification (37 pages); Combined Declaration & Power of Attorney (6 pages); Recordation Form Cover Sheet -- Patents Only (3 pages); Assignment (5 pages); Information Disclosure Statement By Applicant (1 page); Copy of 10 references; Check for \$768.00; Certificate of Mailing by Express Mail (1 page); and return post card are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box: Patent Application, The Assistant Commissioner for Patents, Washington, D.C. 20231 on this 20th day of June, 2001.

Wendy Precht

Name of Depositor



Signature of Depositor

EL846917970US

Express Mail Label No.